

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Mehuys et al. **OUR FILE NO:** 78307CIP1-P1269 US CIP
SERIAL NUMBER: New Application **GROUP:** New Application
FILED: March 8, 2004 **EXAMINER:** New Application
TITLE: OPTICAL GAIN APPARATUS WITH PUMP SOURCE WAVELENGTH
CONTROL
CONFIRMATION NO:

Information Disclosure Statement

Mail Stop New Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application with references.

Certification

X This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

_____ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

_____ This Information Disclosure Statement is submitted after three months from

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. §1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. §1.97(c) and § 1.17(p) is submitted herewith.

_____ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to §1.17(i) (1) are submitted herewith.

_____ A certification under 37 C.F.R §1.97 is submitted herewith separately from this paper.

_____ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

_____ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,



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March 8, 2004

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Form PTO 1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER: 78307CIP1-P1269 US CIP	SERIAL NUMBER: NEW APPLICATION
	APPLICANT: MEHUYS ET AL.	
	FILING DATE: 03/08/2004 NEW APPLICATION	GROUP: NEW APPLICATION

U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCL ASS	FILING APPE
	5,506,724	04/1996	Shimizu et al.	359	341	
	5,914,972	06/1999	Siala et al.	372	33	
	6,038,357	03/2000	Pan	385	24	
	5,471,490	11/1995	Liedenbaum et al.	372	32	
	5,691,989	11/1997	Rakuljic et al.	372	20	

Foreign Patent Documents

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

		Dudley et al., "Temperature dependence of the properties of DBR mirrors used in surface normal optoelectronic devices:, IEEE Journal of Photonics Technology Letters, vol. 4, no. 4, pp. 314-314, April 1992.
		Liu et al., "Tuning characteristics of monolithic passively mode-locked distributed Bragg reflector semiconductor lasers", IEEE Journal of Quantum Electronics, vol. 32, no. 11, pp. 1965-1975, Nov. 1996.
EXAMINER		DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant		